# Forcing, Transition Algebras, and Calculi

Go Hashimoto and Daniel Găină (IMI, Japan) Ionuț Țuțu (IMAR, Romania)

2<sup>nd</sup> Workshop on Logic, Algebra and Category Theory Fukuoka, 2025

#### In this talk

- 1. Short presentation of transition algebra
- 2. Applicability to process calculi
- 3. Proof system, soundness, completeness via forcing
- 4. Tool support and introduction to SpeX

## Transition algebra (TA)

\* at a glance, yet another logic used to reason about labelled transition systems

\* deserves further examination thanks to a blend of special features...

# Transition algebra (TA)

- \* at a glance, yet another logic used to reason about labelled transition systems
- \* deserves further examination thanks to a blend of special features:
  - provides support both for the static, structural aspects of systems, via equations, and for the dynamic aspects of systems, via transitions
  - uniform treatment of states and transition labels (in particular, quantification over labels)
  - unrestricted use of equations and transitions
  - increased expressivity by employing actions similar to those found in dynamic logics
  - operational semantics (more to follow)

# TA signatures

- \* ordinary algebraic signatures
- \* pairs (S,F), where:
  - S is a set of so-called sorts
  - F is an  $S^* \times S$ -indexed family of sets  $F_{w \to s}$  of operation symbols of arity w and sort s
- \* as usual, we also write

$$\sigma: W \to S \in F$$

in place of  $\sigma \in F_{w \to s}$ 

#### Models

- \* (S,F)-algebras A interpreting:
  - every sort  $s \in S$  as a set  $A_s$
  - every operation symbol  $\sigma: w \to s \in F$  as a function  $\sigma^A: A_w \to A_s$
  - for any sort  $t \in S$ , every element  $e \in A_t$  as a binary S-sorted relation  $(e_s \subseteq A_s \times A_s)_{s \in S}$

#### Sentences

\* built using standard Boolean connectives and quantifiers from two kinds of atoms:

equations t = t'

transitions tat'

where t and t' are terms having the same sort and a is an action

\* actions are built from terms using sequential composition  $a \circ b$  choice  $a \cup b$  iteration  $a^*$ 

#### Semantics

\* 
$$A \models t = t'$$
 when  $t^A = t'^A$   
\*  $A \models t \ a \ t'$  when  $(t^A, t'^A) \in a^A$   
and so on, where

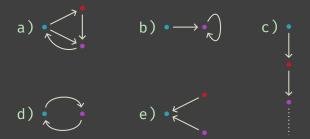
$$\star (a \circ b)^A = a^A \circ b^A$$

\* 
$$(a \cup b)^A = a^A \cup b^A$$

\* 
$$(a^*)^A = (a^A)^* = \bigcup \{(a^A)^n \mid n \in \mathbb{N}\}$$

Which of the following models satisfies  $\forall y \cdot \exists! z \cdot y \rightarrow z$ ?

Which of the following models satisfies  $\forall y \cdot \exists! z \cdot y \rightarrow z$ ?



How do the models of  $\forall y \cdot \exists ! z \cdot y \rightarrow z \land \exists ! x \cdot x \rightarrow y$  look like?

How do the models of  $\forall y \cdot \exists ! z \cdot y \rightarrow z \land \exists ! x \cdot x \rightarrow y$  look like?



What if we add one of the following constraints?

\* 
$$\forall x, x' \cdot x \rightarrow^* x'$$

\* 
$$\forall x, x' \cdot x \rightarrow^* x' \vee x' \rightarrow^* x$$

# Application to process calculi



# Syntactic entailment

- \* get to  $\Gamma \models \varphi$  via  $\Gamma \vdash \varphi$
- \* where ⊢ is defined by proof rules of the following form:

$$\frac{\Gamma \vdash t = t'}{\Gamma \vdash t' = t} \qquad \frac{\Gamma \vdash t \ a \ t', \ \Gamma \vdash t' \ b \ t''}{\Gamma \vdash t \ (a \ \S \ b) \ t''} \qquad \frac{\Gamma \vdash t \ (a \cup b) \ t'}{\Gamma \vdash t \ (a \cup b) \ t'}$$

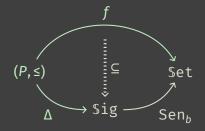
$$\frac{\Gamma \vdash t \ (a \ \S \ b) \ t'', \ \Gamma \cup \{t \ a \ x, x \ b \ t'\} \vdash \varphi}{\Gamma \vdash \varphi}$$

$$\frac{\Gamma \vdash \neg \neg \varphi}{\Gamma \vdash \varphi} \qquad \frac{\Gamma \cup \{\varphi\} \vdash \bot}{\Gamma \vdash \neg \varphi} \qquad \dots$$

#### Nota bene

- $\star$   $\vdash$  is  $\omega_1$ -compact whereas  $\models$  is not so for uncountable signatures
- \* therefore, we cannot hope for a general completeness result for TA
- \* for at most countable signatures, neither ⊢ nor ⊧ is compact
- \* so we cannot tackle completeness using the classical Henkin method
- \* which leads us to forcing...

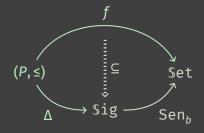
# Forcing properties



where

- \*  $(P, \leq)$  is a poset of so-called conditions
- $\star$   $\Delta$  maps  $p \leq q$  to  $\Delta_p \subseteq \Delta_q$ , and similarly for f
- \*  $f(p) \subseteq \operatorname{Sen}_b(\Delta_p)$
- \*  $f(p) \models \varphi$  implies  $\varphi \in f(q)$  for some  $q \ge p$

# Syntactic forcing



#### where

\* 
$$p = (\Delta_p, \Gamma_p)$$
 where  $\Delta_p = \Sigma \cup C_p$  and  $\Gamma_p \subseteq \mathrm{Sen}(\Delta_p)$  consistent

\* 
$$p \le q$$
 iff  $\Delta_p \subseteq \Delta_q$  and  $\Gamma_p \subseteq \Gamma_q$ 

\* 
$$\Delta(p) = \Delta_p$$

\* 
$$f(p) = \Gamma_p \cap \operatorname{Sen}_b(\Delta_p)$$

# Forcing relation

- \*  $p \Vdash \varphi$  when  $\varphi \in f(p)$  for atomic sentences
- \*  $p \Vdash t(a \circ b) t'$  when  $p \Vdash t a \tau$  and  $p \Vdash \tau b t'$  for some  $\Delta_p$ -term  $\tau$
- \*  $p \Vdash t(a \cup b)t'$  when  $p \Vdash tat'$  or  $p \Vdash tbt'$
- \*  $p \Vdash t \ a^* \ t'$  when  $p \Vdash t \ a^n \ t'$  for some  $n \in \mathbb{N}$
- \*  $p \Vdash \neg \varphi$  when  $q \not\Vdash \varphi$  for all  $q \ge p$
- \*  $p \Vdash \bigvee \Phi$  when  $p \Vdash \varphi$  for some  $\varphi \in \Phi$
- \*  $p \Vdash \exists x \cdot \varphi$  when  $p \Vdash \theta(\varphi)$  for some substitution  $\theta$

# Forcing properties

- \*  $p \Vdash \neg\neg \varphi$  iff for all  $q \ge p$ there is  $r \ge q$  such that  $r \Vdash \varphi$
- \* if  $p \le q$  and  $p \Vdash \varphi$  then  $q \Vdash \varphi$
- \* if  $p \Vdash \varphi$  then  $p \Vdash \neg \neg \varphi$
- \* we cannot have both  $p \Vdash \varphi$  and  $p \Vdash \neg \varphi$
- \*  $\Gamma_p \vdash \varphi$  iff  $p \Vdash \neg \neg \varphi$

#### Generic sets and models

- 1. Every  $p \in P$  belongs to a generic set  $G \subseteq P$ .
- \* G is an ideal
- \* for all  $q \in G$  and sentences  $\varphi$  there is  $r \in G$  such that  $r \ge q$  and either  $r \Vdash \varphi$  or  $r \Vdash \neg \varphi$

#### Generic sets and models

- 1. Every  $p \in P$  belongs to a generic set  $G \subseteq P$ .
- \* G is an ideal
- \* for all  $q \in G$  and sentences  $\varphi$  there is  $r \in G$  such that  $r \ge q$  and either  $r \Vdash \varphi$  or  $r \Vdash \neg \varphi$
- 2. If  $p \in G$  and G is generic, then  $\bigcup \{ \Gamma_q \mid q \in G \}$  is a maximally consistent set that includes  $\Gamma_p$ .

#### Generic sets and models

- 1. Every  $p \in P$  belongs to a generic set  $G \subseteq P$ .
- \* G is an ideal
- \* for all  $q \in G$  and sentences  $\varphi$  there is  $r \in G$  such that  $r \ge q$  and either  $r \Vdash \varphi$  or  $r \Vdash \neg \varphi$
- 2. If  $p \in G$  and G is generic, then  $\bigcup \{\Gamma_q \mid q \in G\}$  is a maximally consistent set that includes  $\Gamma_p$ .
- 3. *G* admits a countable and reachable generic model *A*.
- \*  $A \models \varphi$  iff  $q \Vdash \varphi$  for some  $q \in G$

Theorem
1. Every consistent set of sentences has a countable model.

Theorem

1. Every consistent set of sentences has a countable model.

2.  $\Gamma \vdash \varphi$  iff  $\Gamma \models \varphi$ .

Theorem

1. Every consistent set of sentences has a countable model.

2.  $\Gamma \vdash \varphi$  iff  $\Gamma \models \varphi$ .

\* suppose ad absurdum  $\Gamma \not\vdash \varphi$ 

Theorem
1. Every consistent set of sentences has a countable model.

2.  $\Gamma \vdash \varphi$  iff  $\Gamma \models \varphi$ .

\* suppose ad absurdum  $\Gamma 
ot \vdash \varphi$ 

 $\star$  we get  $\Gamma 
notangle \neg \neg \varphi$ , hence  $\Gamma \cup \{\neg \varphi\} 
notangle \perp$ 

- 1. Every consistent set of sentences has a countable model.
- 2.  $\Gamma \vdash \varphi$  iff  $\Gamma \models \varphi$ .
- \* suppose ad absurdum  $\Gamma 
  ot \mid \phi$
- \* we get  $\Gamma \not\vdash \neg \neg \varphi$ , hence  $\Gamma \cup \{\neg \varphi\} \not\vdash \bot$
- \* it follows that  $\Gamma \cup \{ \neg \varphi \}$  is consistent, so it has a model

- 1. Every consistent set of sentences has a countable model.
- 2.  $\Gamma \vdash \varphi$  iff  $\Gamma \models \varphi$ .
- \* suppose ad absurdum  $\Gamma \not\vdash \varphi$
- \* we get  $\Gamma \not\vdash \neg \neg \varphi$ , hence  $\Gamma \cup \{\neg \varphi\} \not\vdash \bot$
- \* it follows that  $\Gamma \cup \{ \neg \varphi \}$  is consistent, so it has a model
- \* thus contradicting  $\Gamma \models \varphi$



# Term rewriting as a substructure for specification-language interpreters

- \* solid mathematical foundation
- \* algebraic, close to standard notation used by working theoretical computer scientists
- \* great for rapid prototyping

but

- \* still somewhat rigid as a meta-language
- \* limited support for modularization

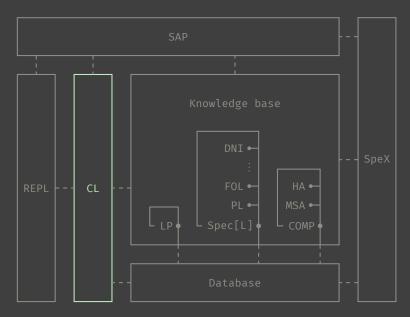
# Object-based programming

```
* we rewrite configurations
 multisets of ₄
  1. objects
                  < Id : Class | Attributes >
 2. messages
                  message(To, From, Arguments)
* using rules of the form
 rl < Id : Class | ... >
    message(Id, ...)
   ⇒ ...
```

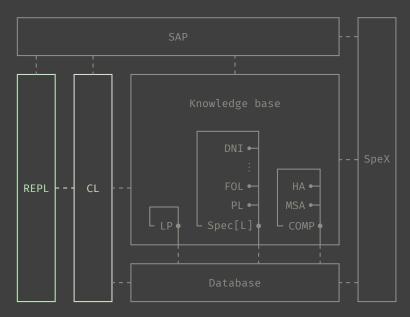
# SpeX

- \* not a plain interpreter, but an 'environment'
- \* integrates specification-language processors
- \* language agnostic
- \* offers a basic system UI 'for free'
- \* based on Maude 3 (OBP with external rewrites)

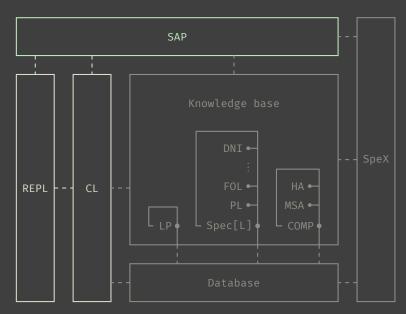
# System overview (overly simplified)



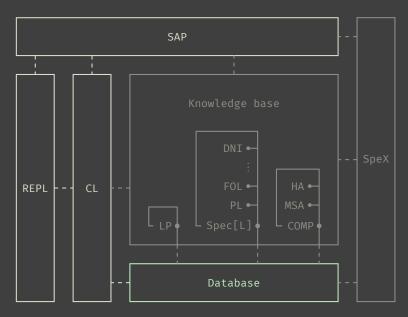
# System overview (overly simplified)



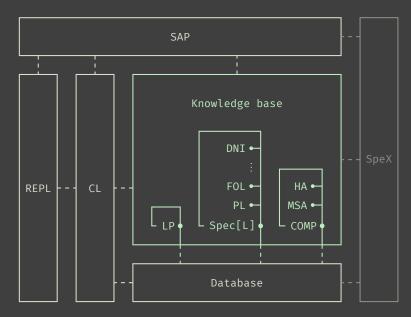
# System overview (overly simplified)



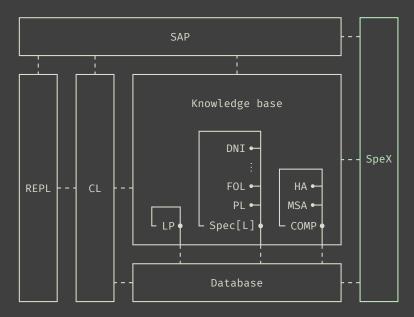
# System overview (overly simplified)



# System overview (overly simplified)



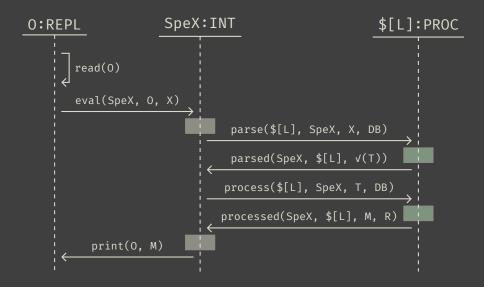
# System overview (overly simplified)



### Integrating new languages into SpeX

- \* by means of processors
  - objects of class PROC
  - interact with SpeX (the object)
- \* receive messages of the form
   parse(\$[L], SpeX, Input, DB)
   process(\$[L], SpeX, AnnotatedTerm, DB)
- \* reply with messages of the form
   parsed(SpeX, \$[L], ParsingOutcome)
   processed(SpeX, \$[L], Text, Record)

#### A basic execution scenario



```
Example: Spec[DNI] (codev'd J. Fiadeiro
                           and C. Chirită)
spec Bind is
 including Base .
 mod bind : Protein Organelle \times Coat .
 ax store k:Nominal
    forall-local {p:Protein, o:Organelle}
     [ p o bind z:Coat ]
    (forall-local {o':Organelle}
      brane(o') = a(k) brane(o)
    and
     (forall-local {c':Coat}
      c' = z \text{ implies brane}(c') = a(k) \text{ brane}(o)
     [label: bind-effect] .
endspec
```

```
Example: Spec[DNI] (codev'd J.Fiadeiro
and C.Chiriță)
```

```
spec Bind is
 including Base .
 mod bind : Protein Organelle \times Coat .
 ax store k:Nominal
     [ p o bind z:Coat ]
     (forall-local {o':Organelle}
      brane(o') = a(k) brane(o)
     (forall-local {c':Coat}
      c' = z \text{ implies brane}(c') = a(k) \text{ brane}(o)
endspec
```

```
Example: Spec[DNI] (codev'd J.Fiadeiro
and C.Chiriță)
```

```
including Base .
mod bind : Protein Organelle × Coat
ax store k:Nominal
   [ p o bind z:Coat ]
   (forall-local {o':Organelle}
     brane(o') = a(k) brane(o)
   (forall-local {c':Coat}
     c' = z \text{ implies brane}(c') = a(k) \text{ brane}(o)
   [label: bind-effect] .
```

```
Example: Spec[DNI] (codev'd J. Fiadeiro
                           and C. Chirită)
 including Base .
 mod bind : Protein Organelle \times Coat .
 ax store k:Nominal
    forall-local {p:Protein, o:Organelle}
     [ p o bind z:Coat ]
    (forall-local {o':Organelle}
      brane(o') = a(k) brane(o)
    and
    (forall-local {c':Coat}
      c' = z \text{ implies brane}(c') = a(k) \text{ brane}(o)
```

```
Example: COMP (codev'd R.Diaconescu)
bobj WATCH is
 syncing (UP-TO-24-COUNTER as HOUR)
     and (UP-TO-60-COUNTER as MINUTE)
     and (UP-TO-60-COUNTER as SECOND) .
 op :: : Nat Nat Nat \rightarrow State.
 act tick : State \rightarrow State .
 act inc-min : State \rightarrow State .
endbo
open WATCH
 forall M:Nat < 60 = true
```

### Example: COMP (codev'd R.Diaconescu)

```
bobj WATCH is
 syncing (UP-TO-24-COUNTER as HOUR)
     and (UP-TO-60-COUNTER as MINUTE)
     and (UP-TO-60-COUNTER as SECOND) .
 act inc-min : State \rightarrow State .
open WATCH
 check tick inc-min (H:Nat : M:Nat : S:Nat)
     ~ inc-min tick (H:Nat : M:Nat : S:Nat)
 forall M:Nat < 60 = true
    and S:Nat < 60 = true.
close
```

```
Example: IPDL (dev'd K.Sojakova,
                       M. Codescu,
                   and J. Gancher)
protocol real =
 newfamily SendInShare[bound N + 2 bound N + 2
                        dependentBound I]
           indices: m, n, i ... : bool in
 newfamily OTMsg-0[bound N + 2 bound N + 2]
                    bound K 1
           indices: n, m, k ... : bool in
 parties || 10ut0f40TReal
 where parties = ...
   and 10utOf40TReal = ...
```

See https://arxiv.org/abs/2507.22705

```
Example: Spec[TA] (codev'd D.Găină
                         and A.Riesco)
spec Institute is
 including CCS .
 ops theorem, coffee, coin ...
 ops Institute, Mathematician, CoffeeVM ...
 ax Institute
    = (Mathematician | CoffeeVM) \ coin \ coffee .
 ax Mathematician
    =(tau)> (snd(coin).rcv(coffee).
             snd(theorem).Mathematician).
 ax CoffeeVM
    =(tau)> (rcv(coin).snd(coffee).CoffeeVM).
endspec
```

### Obtaining SpeX and TATP

\* from the git repositories:

```
https://gitlab.com/ittutu/spex
https://github.com/Transition-Algebra/TATP

* then, provided Maude 3(>.2) is installed:
    ./configure
    make
    [sudo] make install
```

